Open Access and e-resource workflows

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#HHuLOA project
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Hello

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HHuLOA

- **Hull Huddersfield Lincoln Open Access**
- Chris Awre (Hull), Graham Stone (Hud.)
- Partners all non-research-intensive universities looking to increase research base

**Work packages:**
- Benchmarking
- Communicating the policy landscape
- OA services in the research lifecycle
- Technical developments
- Library processes and OA
- etc.
(e-)Library processes and OA

• Established standard set of processes for ERM
  – trialling, acquisitions, technical implementation, discovery and linking, usage analysis, etc.

• Emerging set of processes for OAM
  – advocacy, metadata/discovery, APCs, library as publisher, policies/copyright, etc.

• Little overlap/sharing between ERM+OAM

• Even if carried out in the same room... sometimes by the same people!
TERMS + OAWAL

• Resources aimed at staff new to ERM/OAM
• TERMS = Techniques in E-Resource Management
  – Developed 2008-2012
  – Six TERMS: investigation, acquiring, implementation, evaluation/access, annual review, cancellation/replacement
• OAWAL = OA Workflows for Academic Librarians
  – advocacy, workflows, standards, copyright, etc. etc.
  – Further developed as part of HHuLOA project, see: http://eprints.hud.ac.uk/24260/
Workflows for Open Access Management (WOARMS?)

• Mapping the OA lifecycle onto TERMS
• Using variant of TERMS inc. “Preservation” as an additional heading (missing from original TERMS)
  1. Investigating new content for purchase or addition
  2. Acquiring new content
  3. Implementation
  4. Ongoing evaluation and access, and annual review
  5. Cancellation and replacement review
  6. Preservation
• Ideas on a whiteboard, blogged at: https://library3.hud.ac.uk/blogs/hhuloa/2016/05/11/
1. Investigating new content

- Distinctions throughout between hybrid OAsubscriptions and hypothetical full OA
- Total Cost of Ownership, big deals, offset
- OA licences (re-use) vs subs licences (access)
- Directories of OA content, and reputation/validity
- Use of aggregators to discover new content
- Less need for library involvement in selection...?
2. Acquiring new content

– Negotiation around APC payments
– Who manages the APC budget?
– Negotiation around licences
– Library-as-publisher: OJS and similar
3. Implementation

– Is it actually OA? At journal level/article level?
– Is the right licence applied?
– Is it discoverable and linkable (OpenURL/DOI)
– Add to discovery system index
– Technical testing, checking public discovery access
– Marketing and training
– Add details to ERM system for tracking/mgmnt
– Absence of AuthN and AuthZ... what do we lose?
– *Is all this worth the library’s time?*
4. Evaluation, access, annual review

– Did the APC workflow work? Was the correct licence applied? How long did it take? Etc.
– What value is the library adding?
– User feedback and technical problems
– Bibliometrics and compliance with policies
– Usage analysis (particularly with still-hybrid)
– Collection development and curation
5. Cancellation and replacement

• What does ‘cancellation’ even mean in terms of full OA?
• Implications for OA articles / APC paid articles if a hybrid deal is cancelled.
6. Preservation

• Do repositories actually count as meaningful preservation?
• Does the library have a preservation policy?
• Sharing the risk – LOCKSS etc.
• How do we ensure continuity of processes for consistency of access and discovery?
Links

- HHuLOA project blog: [https://library3.hud.ac.uk/blogs/hhuloa/](https://library3.hud.ac.uk/blogs/hhuloa/)
- HHuLOA – UK OA lifecycle: [http://eprints.hud.ac.uk/24260/](http://eprints.hud.ac.uk/24260/)
- HHuLOA – blog post mapping OA to ERM [https://library3.hud.ac.uk/blogs/hhuloa/2016/05/11/](https://library3.hud.ac.uk/blogs/hhuloa/2016/05/11/)
- TERMS: [https://library3.hud.ac.uk/blogs/terms/](https://library3.hud.ac.uk/blogs/terms/)
- OAWAL: [https://library3.hud.ac.uk/blogs/oawal/](https://library3.hud.ac.uk/blogs/oawal/)
Looking forward

- End of HHuLOA project...
- Discussion and community involvement (Hi!)
- Planned review of TERMS, summer 2016
- Crowdsourcing TERMS
Points for discussion

• At your institution:
  – Who does ERM and who does OAM?
  – Team structures – who has oversight of ERM/OAM?
  – Are the roles converging or already converged?
  – What are your contingency/continuity plans?
  – Do other staff understand the work of ERM/OAM?
  – What aspects of ERM/OAM are becoming more important / less important over time?
  – How are external factors changing what you spend time on?
  – How much is it all costing your institution (staff costs)? Is that figure changing?
  – Is the total amount of library involvement in access increasing or decreasing? Is it worth it? Can you prove it?
  – How are staff gaining the skills to do ERM/OAM? Is there formal or informal training? Job share/skills exchange?